

# Non-Trivial Universes and Sequences of $Universes^1$

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Summary. Universe is a concept which is present from the beginning of the creation of the Mizar Mathematical Library (MML) in several forms (Universe, Universe\_closure, UNIVERSE) [25], then later as the\_universe\_of, [33], and recently with the definition GrothendieckUniverse [26], [11], [11]. These definitions are useful in many articles [28, 33, 8, 35], [19, 32, 31, 15, 6], but also [34, 12, 20, 22, 21], [27, 2, 3, 23, 16, 7, 4, 5].

In this paper, using the Mizar system [9] [10], we trivially show that Grothendieck's definition of Universe as defined in [26], coincides with the original definition of Universe defined by Artin, Grothendieck, and Verdier (*Chapitre 0 Univers et Appendice "Univers" (par N. Bourbaki) de l'Exposé I. "PREFAISCE-*AUX") [1], and how the different definitions of MML concerning universes are related. We also show that the definition of Universe introduced by Mac Lane ([18]) is compatible with the MML's definition.

Although a universe may be empty, we consider the properties of non-empty universes, completing the properties proved in [25].

We introduce the notion of "trivial" and "non-trivial" Universes, depending on whether or not they contain the set  $\omega$  (NAT), following the notion of Robert M. Solovay<sup>2</sup>. The following result links the universes U<sub>0</sub> (FinSETS) and U<sub>1</sub> (SETS):

GrothendieckUniverse  $\omega$  = GrothendieckUniverse  $\mathbf{U}_0 = \mathbf{U}_1$ 

Before turning to the last section, we establish some trivial propositions allowing the construction of sets outside the considered universe.

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<sup>&</sup>lt;sup>2</sup>https://cs.nyu.edu/pipermail/fom/2008-March/012783.html

The last section is devoted to the construction, in Tarski-Grothendieck, of a tower of universes indexed by the ordinal numbers (See 8. Examples, Grothendieck universe, neutlab.org [24]).

Grothendieck's universe is referenced in current works: "Assuming the existence of a sufficient supply of (Grothendieck) univers", Jacob Lurie in "Higher Topos Theory" [17], "Annexe B – Some results on Grothendieck universes", Olivia Caramello and Riccardo Zanfa in "Relative topos theory via stacks" [13], "Remark 1.1.5 (quoting Michael Shulman [30])", Emily Riehl in "Category theory in Context" [29], and more specifically "Strict Universes for Grothendieck Topoi" [14].

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## 1. Preliminaries

Now we state the propositions:

(1) Let us consider a set X. Then  $\pi_1(X), \pi_2(X) \in 2 \bigcup \bigcup X$ .

(2)  $\mathbb{R}^*$  = the set of all X where X is a finite sequence of elements of  $\mathbb{R}$ .

One can verify that there exists a Grothendieck which is empty and there exists a Grothendieck which is non empty.

Let X be a set. One can verify that every Grothendieck of X is non empty.

## 2. Original Definitions of Grothendieck's Universe

Let  $\mathcal{G}$  be a set. We say that  $\mathcal{G}$  satisfies axiom  $\mathrm{GU}_1$  if and only if

(Def. 1) for every sets x, y such that  $x \in \mathcal{G}$  and  $y \in x$  holds  $y \in \mathcal{G}$ .

We say that  $\mathcal{G}$  satisfies axiom  $\mathrm{GU}_2$  if and only if

(Def. 2) for every sets x, y such that  $x, y \in \mathcal{G}$  holds  $\{x, y\} \in \mathcal{G}$ . We say that  $\mathcal{G}$  satisfies axiom GU<sub>3</sub> if and only if

(Def. 3) for every set x such that  $x \in \mathcal{G}$  holds  $2^x \in \mathcal{G}$ . Let  $\mathcal{G}$  be a non empty set. We say that  $\mathcal{G}$  satisfies axiom  $\mathrm{GU}_4$  if and only if

(Def. 4) for every element I of  $\mathcal{G}$  and for every  $\mathcal{G}$ -valued many sorted set x indexed by  $I, \bigcup \operatorname{rng} x \in \mathcal{G}$ .

## 3. Equivalences of Definitions

Now we state the propositions:

- (3) Let us consider a set X. Then X satisfies axiom  $GU_1$  if and only if X is transitive.
- (4) Let us consider a non empty set X. Then X satisfies axiom  $GU_4$  if and only if X is Family-Union-closed.
- (5) Let us consider a Family-Union-closed set X, and a function f. Suppose dom  $f \in X$  and rng  $f \subseteq X$ . Then  $\bigcup$  rng  $f \in X$ .

One can check that every Grothendieck satisfies axiom  $GU_1$ , axiom  $GU_2$ , and axiom  $GU_3$  and every non empty Grothendieck satisfies axiom  $GU_4$ .

Now we state the proposition:

(6) Let us consider a non empty set  $\mathcal{G}$ . Suppose  $\mathcal{G}$  satisfies axiom  $\mathrm{GU}_1$ , axiom  $\mathrm{GU}_2$ , axiom  $\mathrm{GU}_3$ , and axiom  $\mathrm{GU}_4$ . Then  $\mathcal{G}$  is a non empty Grothendieck.

Let us consider a set X. Now we state the propositions:

- (7) X is a universal class if and only if X is a non empty Grothendieck.
- (8)  $\mathbf{T}(\{X\}^{*\in})$  is a Grothendieck of X.
- (9) The universe of  $\{X\}$  is a Grothendieck of X. The theorem is a consequence of (8).
- (10) Universe\_closure( $\{X\}$ ) = GrothendieckUniverse(X).

## 4. Equivalences of Mac Lane Definition

Now we state the propositions:

- (11) Let us consider a Grothendieck U. Suppose  $\omega \in U$ . Then
  - (i) for every sets x, u such that  $x \in u \in U$  holds  $x \in U$ , and
  - (ii) for every sets u, v such that  $u, v \in U$  holds  $\{u, v\}, \langle u, v \rangle, u \times v \in U$ , and
  - (iii) for every set x such that  $x \in U$  holds  $2^x, \bigcup x \in U$ , and
  - (iv)  $\omega \in U$ , and
  - (v) for every sets a, b and for every function f from a into b such that dom f = a and f is onto and  $a \in U$  and  $b \subseteq U$  holds  $b \in U$ .
- (12) Let us consider a set U. Suppose for every sets x, u such that  $x \in u \in U$ holds  $x \in U$  and for every set x such that  $x \in U$  holds  $2^x, \bigcup x \in U$  and  $\omega \in U$  and for every sets a, b and for every function f from a into b such that dom f = a and f is onto and  $a \in U$  and  $b \subseteq U$  holds  $b \in U$ . Then Uis a Grothendieck. The theorem is a consequence of (4) and (3).

5. Properties of Universe, Following [25]

From now on X denotes a set and  $\mathcal{U}$  denotes a universal class. Now we state the proposition:

- (13) Suppose X satisfies axiom  $GU_1$  and axiom  $GU_3$ . Then
  - (i) for every set y and for every subset x of y such that  $y \in X$  holds  $x \in X$ , and
  - (ii) for every sets x, y such that  $x \subseteq y$  and  $y \in X$  holds  $x \in X$ , and
  - (iii) if X is not empty, then  $\emptyset \in X$ .

Let  $\mathcal{U}$  be a universal class. The functor  $\emptyset_{\mathcal{U}}$  yielding an element of  $\mathcal{U}$  is defined by the term

(Def. 5)  $\emptyset$ .

Now we state the propositions:

- (14)  $\mathcal{U}$  is a Grothendieck of  $\emptyset$ . The theorem is a consequence of (13).
- (15) Let us consider elements u, v of  $\mathcal{U}$ . Then  $v^u \subseteq$  the set of all f where f is a function from u into v.

Let  $\mathcal{U}$  be a universal class and u be an element of  $\mathcal{U}$ . Note that the functor succ u yields an element of  $\mathcal{U}$ . Now we state the propositions:

(16) Let us consider a natural number n. Then  $n \in \mathcal{U}$ . PROOF: Define  $\mathcal{P}[\text{natural number}] \equiv \$_1 \in \mathcal{U}$ .  $\mathcal{P}[0]$ . For every natural number  $n, \mathcal{P}[n]$ .  $\Box$ 

(17) 
$$\omega \subseteq \mathcal{U}.$$

(18) (i)  $\mathbb{N} \in \mathcal{U}$ , or

(ii)  $\mathbb{N} \approx \mathcal{U}$ .

The theorem is a consequence of (16).

Let us note that every universal class is infinite. Now we state the proposition:

(19)  $\mathbf{U}_0$  is denumerable.

Observe that there exists a universal class which is denumerable. Now we state the proposition:

(20)  $\mathcal{U}$  is not denumerable if and only if  $\omega \in \mathcal{U}$ .

Observe that there exists a universal class which is non denumerable. Let  $\mathcal{U}$  be a universal class. We say that  $\mathcal{U}$  is trivial if and only if

(Def. 6)  $\omega \notin \mathcal{U}$ .

Now we state the proposition:

(21) (i)  $\mathbf{U}_0$  is trivial, and

- (ii)  $\mathbf{U}_1$  is not trivial.
- The theorem is a consequence of (16), (13), (19), and (20).

One can check that there exists a universal class which is trivial and there exists a universal class which is non trivial and every non trivial universal class is non denumerable. Now we state the proposition:

- (22) Let us consider an element x of  $\mathcal{U}$ , and objects y, z. Suppose  $x = \langle y, z \rangle$ . Then
  - (i) y is an element of  $\mathcal{U}$ , and
  - (ii) z is an element of  $\mathcal{U}$ .

Let  $\mathcal{U}$  be a universal class. Let us note that there exists an element of  $\mathcal{U}$  which is pair. Now we state the proposition:

(23) Let us consider elements u, v of  $\mathcal{U}$ . Then the set of all f where f is a function from u into v is an element of  $\mathcal{U}$ . The theorem is a consequence of (13).

Let  $\mathcal{U}$  be a universal class, I be an element of  $\mathcal{U}$ , and x be a  $\mathcal{U}$ -valued many sorted set indexed by I. Let us observe that the functor  $\prod x$  yields an element of  $\mathcal{U}$ . Let x, y be elements of  $\mathcal{U}$ . The functor  $x \uplus y$  yielding an element of  $\mathcal{U}$  is defined by the term

(Def. 7)  $[x \longmapsto \emptyset_{\mathcal{U}}, y \longmapsto \{\emptyset_{\mathcal{U}}\}].$ 

Now we state the propositions:

- (24) Let us consider elements x, y of  $\mathcal{U}$ . Then  $x \uplus y$  is a subset of  $\{x, y\} \times \{\emptyset, \{\emptyset\}\}$ .
- (25) Let us consider an element u of  $\mathcal{U}$ . Then  $u \uplus u = \{\langle u, \{\emptyset\} \rangle\}$ .

Let  $\mathcal{U}$  be a universal class, I be an element of  $\mathcal{U}$ , and x be a  $\mathcal{U}$ -valued many sorted set indexed by I. Note that the functor dom x yields an element of  $\mathcal{U}$ . Note that the functor  $\bigcup x$  yields an element of  $\mathcal{U}$ . Let us note that the functor disjoint x yields a  $\mathcal{U}$ -valued many sorted set indexed by I. The functor  $\bigcup x$  yielding an element of  $\mathcal{U}$  is defined by the term

(Def. 8)  $\bigcup$  disjoint x.

Let us consider an element I of  $\mathcal{U}$  and a  $\mathcal{U}$ -valued many sorted set x indexed by I. Now we state the propositions:

- (26)  $\bigcup \operatorname{coprod}(x)$  is an element of  $\mathcal{U}$ .
- (27)  $\biguplus x$  is a subset of  $\bigcup \operatorname{rng} x \times I$ .
- (28) If X satisfies axiom  $\operatorname{GU}_2$ , then for every set x such that  $x \in X$  holds  $\{x\} \in X$ .

Let us consider an element u of  $\mathcal{U}$ . Now we state the propositions:

(29) 
$$\overline{\overline{u}} \in \mathcal{U}.$$

- (30) (i)  $u \not\approx \mathcal{U}$ , and (ii)  $\overline{\overline{u}} \in \overline{\overline{\mathcal{U}}}$ .
- (31) Let us consider elements u, v of  $\mathcal{U}$ . Then  $\{\langle u, \emptyset \rangle, \langle v, \{\emptyset\} \rangle\} = \{u\} \times \{\emptyset\} \cup \{v\} \times \{\{\emptyset\}\}.$
- (32) Let us consider elements I, a, b, u, v of  $\mathcal{U}$ , and a  $\mathcal{U}$ -valued many sorted set x indexed by I. Suppose  $I = \{a, b\}$  and x(a) = u and x(b) = v. Then  $\biguplus x = u \times \{a\} \cup v \times \{b\}$ .

Let us consider elements I, u, v of  $\mathcal{U}$  and a  $\mathcal{U}$ -valued many sorted set x indexed by I. Now we state the propositions:

- (33) Suppose  $I = \{\emptyset, \{\emptyset\}\}$  and  $x(\emptyset) = u$  and  $x(\{\emptyset\}) = v$ . Then  $\biguplus x = u \times \{\emptyset\} \cup v \times \{\{\emptyset\}\}$ . The theorem is a consequence of (32).
- (34) Suppose  $I = \{\emptyset, \{\emptyset\}\}$  and  $x(\emptyset) = \{u\}$  and  $x(\{\emptyset\}) = \{v\}$  and  $u \neq v$ . Then  $\forall x = u \forall v$ . The theorem is a consequence of (33) and (31).
- (35) Let us consider an element x of  $\mathcal{U}$ , and objects y, z. Suppose  $x = \langle y, z \rangle$ . Then
  - (i) y is an element of  $\mathcal{U}$ , and
  - (ii) z is an element of  $\mathcal{U}$ .

Let  $\mathcal{U}$  be a universal class. Observe that there exists an element of  $\mathcal{U}$  which is pair.

Let u be a pair element of  $\mathcal{U}$ . The functors:  $(u)_1$  and  $(u)_2$  yield elements of  $\mathcal{U}$ . Now we state the proposition:

- (36) Let us consider an element X of  $\mathcal{U}$ . Then
  - (i)  $\pi_1(X)$  is an element of  $\mathcal{U}$ , and
  - (ii)  $\pi_2(X)$  is an element of  $\mathcal{U}$ .

The theorem is a consequence of (1).

- Let us consider a binary relation R. Now we state the propositions:
- (37) If  $R \in \mathcal{U}$ , then dom R, rng  $R \in \mathcal{U}$ . The theorem is a consequence of (36).
- (38) If dom R is an element of  $\mathcal{U}$  and rng R is an element of  $\mathcal{U}$ , then R is an element of  $\mathcal{U}$ . The theorem is a consequence of (13).
- (39) Let us consider a set X, a non empty set Y, and a function f from X into Y. If  $f \in \mathcal{U}$ , then  $X \in \mathcal{U}$ . The theorem is a consequence of (37).
- (40) Let us consider non empty sets A, B. Suppose  $A \times B$  is an element of  $\mathcal{U}$ . Then
  - (i) A is an element of  $\mathcal{U}$ , and
  - (ii) B is an element of  $\mathcal{U}$ .

The theorem is a consequence of (36).

- (41) Let us consider a set X. Suppose  $id_X$  is an element of  $\mathcal{U}$ . Then X is an element of  $\mathcal{U}$ . The theorem is a consequence of (37).
- (42) Let us consider elements x, y, z of  $\mathcal{U}$ . Then  $\langle x, y \rangle \longmapsto z$  is an element of  $\mathcal{U}$ .

## 6. Properties of Universe Containing $\omega$

Now we state the propositions:

- (43)  $\omega \subset \mathbf{U}_0$ . The theorem is a consequence of (16).
- (44) Let us consider a set X. Then  $\mathbf{T}(\emptyset) \subseteq \mathbf{T}(X)$ .
- (45) Let us consider a Grothendieck  $\mathcal{G}$  of X. Then  $\mathbf{U}_0 \subseteq \mathcal{G}$ . The theorem is a consequence of (44).
- (46) (i) GrothendieckUniverse( $\emptyset$ ) = **U**<sub>0</sub>, and

(ii) GrothendieckUniverse( $\emptyset$ ) =  $\mathbf{U}_{\emptyset}$ .

- (47) Let us consider a set X, and a Grothendieck  $\mathcal{G}$  of X. Then Grothendieck Universe $(\emptyset) \subseteq$  GrothendieckUniverse $(X) \subseteq \mathcal{G}$ .
- (48) Let us consider an element n of  $\mathbf{U}_0$ . Then GrothendieckUniverse $(n) = \mathbf{U}_0$ . The theorem is a consequence of (45).
- (49) the empty Grothendieck  $\subset \omega \subset$  GrothendieckUniverse( $\emptyset$ )  $\subset$  Grothendieck Universe( $\omega$ ). The theorem is a consequence of (16), (46), (43), (19), and (20).
- (50) Let us consider a non empty Grothendieck  $\mathcal{G}$ . Suppose  $\mathcal{G} \neq$  Grothendieck Universe( $\omega$ ). Then
  - (i) GrothendieckUniverse( $\omega$ )  $\in \mathcal{G}$ , or
  - (ii)  $\mathcal{G} \in \text{GrothendieckUniverse}(\omega)$ .
- (51)  $\mathbf{T}(\omega) = \text{GrothendieckUniverse}(\omega).$
- (52) Let us consider sets  $N_1$ ,  $N_2$ . Suppose  $N_1 = \mathbb{N} \times \mathbb{N} \cup \mathbb{N}$  and  $N_2 = N_1 \cup 2^{N_1}$ . Then  $\mathbb{R} \subseteq N_2 \cup \mathbb{N} \times N_2$ .

Let us consider a non trivial universal class  $\mathcal{U}$ . Now we state the propositions:

- (53)  $\mathbb{R}$  is an element of  $\mathcal{U}$ . The theorem is a consequence of (52) and (13).
- (54)  $\mathbb{R}$  is an element of  $\mathcal{U}$ . The theorem is a consequence of (53) and (13).
- (55)  $\mathbb{C} \in \mathcal{U}$ . The theorem is a consequence of (16), (53), and (13).
- (56)  $\mathbb{H} \in \mathcal{U}$ . The theorem is a consequence of (16), (53), (55), and (13).
- (57) Let us consider a natural number n. Then  $\operatorname{Seg} n \in \mathcal{U}$ . The theorem is a consequence of (16) and (13).

- (58) Let us consider a set D. If  $D \in \mathcal{U}$ , then for every natural number n,  $D^n \in \mathcal{U}$ . The theorem is a consequence of (57).
- (59) Let us consider a non trivial universal class  $\mathcal{U}$ , and a natural number n. Then  $\mathcal{R}^n \in \mathcal{U}$ . The theorem is a consequence of (53) and (58).

Let us consider a set X and a natural number n. Now we state the propositions:

- (60) If  $X \in \mathcal{U}$ , then  $X^n \in \mathcal{U}$ . The theorem is a consequence of (57).
- (61)  $X^n \subseteq X^*$ .
- (62) Let us consider a non empty set X, and an object x. If  $x \in X^*$ , then there exists a natural number n such that  $x \in X^n$ .
- (63) Let us consider a non empty set X. Then there exists a function f such that
  - (i) dom  $f = \mathbb{N}$ , and
  - (ii) for every natural number  $n, f(n) = X^n$ , and
  - (iii)  $\bigcup \operatorname{rng} f = X^*$ .

PROOF: Define  $\mathcal{P}[\text{object}, \text{object}] \equiv \text{there exists a natural number } n \text{ such that } \$_1 = n \text{ and } \$_2 = X^n$ . For every object x such that  $x \in \mathbb{N}$  there exists an object y such that  $\mathcal{P}[x, y]$ . Consider f being a function such that dom  $f = \mathbb{N}$  and for every object x such that  $x \in \mathbb{N}$  holds  $\mathcal{P}[x, f(x)]$ . For every natural number  $n, f(n) = X^n$ .  $\bigcup \operatorname{rng} f = X^*$ .  $\Box$ 

(64) Let us consider a non trivial universal class  $\mathcal{U}$ , and a non empty set X. If  $X \in \mathcal{U}$ , then  $X^* \in \mathcal{U}$ . The theorem is a consequence of (63) and (58).

Let us consider a non trivial universal class  $\mathcal{U}$ . Now we state the propositions:

- (65)  $\mathbb{R}^* \in \mathcal{U}$ . The theorem is a consequence of (53) and (64).
- (66)  $\overline{\mathbb{R}}^* \in \mathcal{U}$ . The theorem is a consequence of (54) and (64).
- (67)  $\mathbb{C}^* \in \mathcal{U}.$
- $(68) \quad (\mathbb{H})^* \in \mathcal{U}.$
- (69) Let us consider a universal class  $\mathcal{U}$ , and a set X. If  $X \in \mathcal{U}$ , then for every finite sequence s of elements of X,  $s \in \mathcal{U}$ . The theorem is a consequence of (57) and (13).
- (70) Let us consider an empty set X, and a finite sequence f of elements of  $X^*$ . Then  $f = \text{len } f \mapsto 0$ .
- (71) Let us consider a non trivial universal class  $\mathcal{U}$ , and a non empty set D. If  $D \in \mathcal{U}$ , then for every matrix M over  $D, M \in \mathcal{U}$ .
- (72)  $\mathbf{U}_0, \mathbb{N}, \mathbb{R}, \overline{\mathbb{R}} \in \mathbf{U}_1$ . The theorem is a consequence of (16), (13), (53), and (54).

- (73) Let us consider a set X, and a universal class  $\mathcal{U}$ . If  $\mathcal{U} \in \mathbf{T}(X)$ , then  $\mathbf{T}(\mathcal{U}) \subseteq \mathbf{T}(X)$ .
- (74)  $\mathbf{U}_0 \in \mathbf{T}(\omega)$ . The theorem is a consequence of (19) and (20).
- (75)  $\mathbf{U}_1 = \mathbf{T}(\omega)$ . The theorem is a consequence of (72), (73), and (74).
- (76) GrothendieckUniverse( $\omega$ ) = U<sub>1</sub>.
- (77) GrothendieckUniverse( $\omega$ ) = GrothendieckUniverse( $\mathbf{U}_0$ ) =  $\mathbf{U}_1$ . PROOF: GrothendieckUniverse( $\omega$ ) = GrothendieckUniverse( $\mathbf{U}_0$ ).  $\Box$

Let us consider a non empty set X, a Grothendieck  $\mathcal{G}'$  of X, and a universal class  $\mathcal{G}$ . Now we state the propositions:

- (78) If X misses  $\mathcal{G}$ , then  $\mathcal{G}' \neq \mathcal{G}$ .
- (79) If X misses  $\mathcal{G}$ , then  $\mathcal{G}' \in \mathcal{G}$  or  $\mathcal{G} \in \mathcal{G}'$ .
- (80) Let us consider universal classes  $\mathcal{U}, \mathcal{U}'$ , and an element a of  $\mathcal{U}$ . If  $a \notin \mathcal{U}'$ , then  $\mathcal{U}' \in \mathcal{U}$ . The theorem is a consequence of (78).
- (81) Let us consider a Grothendieck  $\mathcal{G}$ . Then  $\bigcup \mathcal{G} = \mathcal{G}$ . One can verify that every Grothendieck is limit ordinal. Now we state the proposition:
- (82) Let us consider a universal class  $\mathcal{U}$ , and a non empty element V of  $\mathcal{U}$ . Then Funcs V is a subset of  $\mathcal{U}$ . The theorem is a consequence of (81).

7. How to Get Out of a Universe?

Now we state the propositions:

- (83) There exists a set a such that  $a \notin \mathcal{U}$ .
- (84) There exists a subset A of  $\mathcal{U}$  such that  $A \notin \mathcal{U}$ .
- (85) the set of all u where u is an element of  $\mathcal{U}$  is not an element of  $\mathcal{U}$ .
- (86) Let us consider an element X of  $\mathcal{U}$ . Then  $\mathcal{U} \setminus X$  is not an element of  $\mathcal{U}$ . PROOF:  $\mathcal{U} \setminus X \notin \mathcal{U}$ .  $\Box$
- (87)  $2^{\mathcal{U}} \notin \mathcal{U}$ .

#### 8. A Sequence of Universes

Now we state the proposition:

- (88) Let us consider a set X. Then there exists a function f such that
  - (i) dom  $f = \mathbb{N}$ , and
  - (ii) f(0) = X, and
  - (iii) for every natural number n, f(n+1) = GrothendieckUniverse(f(n)).

PROOF: Define  $\mathcal{G}(\text{set}, \text{set}) = \text{GrothendieckUniverse}(\$_2)$ . There exists a function f such that dom  $f = \mathbb{N}$  and f(0) = X and for every natural number  $n, f(n+1) = \mathcal{G}(n, f(n))$ .  $\Box$ 

The Construction of X, GrothendieckUniverse(X), GrothendieckUniverse (GrothendieckUniverse(X)), . . .

Let X be a set. The functor sequence-universe(X) yielding a function is defined by

(Def. 9) dom  $it = \mathbb{N}$  and it(0) = X and for every natural number n, it(n+1) =GrothendieckUniverse(it(n)).

Now we state the propositions:

- (89) Let us consider a set X. Then sequence-universe(X) is a transfinite sequence.
- (90) Let us consider a set X, and a transfinite sequence S. If dom  $S = \mathbb{N}$ , then last  $S = S(\mathbb{N})$ .
- (91) Let us consider a transfinite sequence S. Suppose dom  $S = \mathbb{N}$ . Then
  - (i)  $S(\mathbb{N}) = \emptyset$ , and
  - (ii) last  $S = \emptyset$ .

The theorem is a consequence of (90).

- (92) Let us consider a set X, and a transfinite sequence S. Suppose S =sequence-universe(X). Then
  - (i) last  $S = \emptyset$ , and
  - (ii)  $S(\mathbb{N}) = \emptyset$ .

The theorem is a consequence of (91).

The Construction of  $X \cup$  GrothendieckUniverse $(X) \cup$  GrothendieckUniverse $(X) \cup$  ...

Let X be a set. The functor union-sequence-universe (X) yielding a non empty set is defined by the term

(Def. 10)  $\bigcup$  rng sequence-universe(X).

Now we state the proposition:

(93) Let us consider a set X. Then rng sequence-universe $(X) \subseteq$  union-sequence-universe(X).

THE FORMAL COUNTERPART OF  $\emptyset(=\mathcal{U}_0) \in \mathcal{U}_1 \in \mathcal{U}_2 \in \ldots$ : Sequence of universes indexed by the ordinal numbers (see 8. Examples, Grothendieck Universe [24]).

The functor sequence-universe yielding a sequence of union-sequence-universe ( $\emptyset$ ) is defined by the term

(Def. 11) sequence-universe( $\emptyset$ ).

Now we state the propositions:

- (94)  $\emptyset$ ,  $\mathbf{U}_0$ ,  $\mathbf{U}_1 \in \text{rng sequence-universe.}$  The theorem is a consequence of (45) and (77).
- (95)  $\bigcup_{n < \omega} \mathcal{U}_n$  IS NOT A UNIVERSE: Urng sequence-universe is not a Grothendieck. The theorem is a consequence of (72) and (94).
- (96) (i)  $\mathbf{T}(\mathbf{U}_0) = \text{GrothendieckUniverse}(\mathbf{U}_0)$ , and
  - (ii)  $\mathbf{T}(\mathbf{U}_1) = \text{GrothendieckUniverse}(\mathbf{U}_1).$
- (97) Let us consider a set X, and a natural number n. Then
  - (i) (sequence-universe(X))(n+1) is transitive, and
  - (ii)  $\mathbf{T}((\text{sequence-universe}(X))(n+1)) =$ GrothendieckUniverse((sequence-universe(X))(n+1)).

Let us consider a natural number n. Now we state the propositions:

- (98)  $\mathbf{T}((\text{sequence-universe}(\mathbf{U}_0))(n)) =$ GrothendieckUniverse((sequence-universe(\mathbf{U}\_0))(n)). The theorem is a con-
- (99)  $\mathbf{U}_n \in \mathbf{U}_{n+1}$ .

sequence of (77).

- (100) (sequence-universe( $\mathbf{U}_0$ )) $(n) = \mathbf{U}_n$ . PROOF: Define  $\mathcal{P}[$ natural number $] \equiv ($ sequence-universe $(\mathbf{U}_0)$ ) $(\$_1) = \mathbf{U}_{\$_1}$ . For every natural number k such that  $\mathcal{P}[k]$  holds  $\mathcal{P}[k+1]$ . For every natural number  $k, \mathcal{P}[k]$ .  $\Box$
- (101) GrothendieckUniverse((sequence-universe( $\emptyset$ ))(n)) = (sequence-universe(GrothendieckUniverse( $\emptyset$ )))(n). PROOF: Define  $\mathcal{P}[$ natural number]  $\equiv$  GrothendieckUniverse((sequenceuniverse( $\emptyset$ ))( $\$_1$ )) = (sequence-universe(GrothendieckUniverse( $\emptyset$ )))( $\$_1$ ).  $\mathcal{P}[0]$ . For every natural number k such that  $\mathcal{P}[k]$  holds  $\mathcal{P}[k+1]$ . For every natural number k,  $\mathcal{P}[k]$ .  $\Box$
- (102) (sequence-universe) $(n+1) = \mathbf{U}_n$ . The theorem is a consequence of (46), (100), and (101).

Let us note that there exists an element of  $\bigcup \operatorname{rng}$  sequence-universe which is non empty.

Now we state the propositions:

- (103)  $\mathbf{U}_0, \mathbf{U}_1 \in \text{GrothendieckUniverse}(\text{sequence-universe})$ . The theorem is a consequence of (45) and (77).
- (104) Let us consider a natural number n. Then (sequence-universe) $(n + 1) \in$ GrothendieckUniverse(sequence-universe). The theorem is a consequence of (45) and (102).

THE CONSTRUCTION OF  $\mathcal{U}_{\omega}$ : Tower of universes indexed by the ordinal numbers (see 8. Examples, Grothendieck Universe [24]).

The functor  $\mathcal{U}_{\omega}$  yielding a non trivial universal class is defined by the term (Def. 12) GrothendieckUniverse(sequence-universe).

Now we state the proposition:

(105) Let us consider a natural number n. Then (sequence-universe) $(n) \subseteq$  (sequence-universe)(n + 1). PROOF: Define  $\mathcal{P}$ [natural number]  $\equiv$  (sequence-universe) $(\$_1) \subseteq$  (sequence-universe) $(\$_1 + 1)$ .  $\mathcal{P}$ [0]. For every natural number k such that  $\mathcal{P}[k]$  holds

 $\mathcal{P}[k+1]$ . For every natural number  $n, \mathcal{P}[n]$ .  $\Box$ 

Let X be an element of  $\bigcup$  rng sequence-universe. The functor rank-universe(X) yielding a natural number is defined by

(Def. 13)  $X \in (\text{sequence-universe})(it)$  and for every natural number n such that n < it holds  $X \notin (\text{sequence-universe})(n)$ .

Now we state the propositions:

(106) Let us consider an element X of  $\bigcup$  rng sequence-universe, and a natural number n. Suppose rank-universe $(X) \leq n$ .

Then  $X \in (\text{sequence-universe})(n)$ .

PROOF: Define  $\mathcal{P}[\text{natural number}] \equiv X \in (\text{sequence-universe})(\$_1)$ . For every natural number j such that rank-universe $(X) \leq j$  and  $\mathcal{P}[j]$  holds  $\mathcal{P}[j+1]$ . For every natural number i such that rank-universe $(X) \leq i$  holds  $\mathcal{P}[i]$ .  $\Box$ 

- (107) Let us consider a natural number *i*. Then there exists a set *x* such that  $x \in (\text{sequence-universe})(i + 1) \setminus (\text{sequence-universe})(i)$ . The theorem is a consequence of (105) and (102).
- (108) Let us consider a natural number *n*. Then  $\mathbf{U}_{n+1} \setminus (\mathbf{U}_n) \notin \mathbf{U}_{n+1}$ . The theorem is a consequence of (99) and (86).

The functor Compl Universe yielding a function from  $\mathbb N$  into  $\bigcup \operatorname{rng}$  sequence-universe is defined by

(Def. 14) for every natural number n,  $it(n) = \mathbf{U}_{n+1} \setminus (\mathbf{U}_n)$ .

Let us consider a natural number n. Now we state the propositions:

- (109) (ComplUniverse)(n) is not empty. The theorem is a consequence of (99).
- (110) (ComplUniverse) $(n) \subseteq \mathbf{U}_{n+1}$ .
- (111) There exists a function f from  $\mathbb{N}$  into  $\bigcup \bigcup$  rng sequence-universe such that for every natural number  $i, f(i) \in (\text{ComplUniverse})(i)$ . PROOF: Set g = the choice of ComplUniverse. rng  $g \subseteq \bigcup \bigcup$  rng sequence-universe. For every natural number  $i, g(i) \in (\text{ComplUniverse})(i)$ .  $\Box$

- (112) Let us consider a function f from  $\mathbb{N}$  into  $\bigcup$  rng sequence-universe. Then  $f \in \mathcal{U}_{\omega}$ . The theorem is a consequence of (13) and (104).
- (113) Let us consider a function f from  $\mathbb{N}$  into  $\bigcup \bigcup$  rng sequence-universe. Then  $f \in \mathcal{U}_{\omega}$ . The theorem is a consequence of (13) and (104).

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